

Form No. MGT-9

EXTRACT OF ANNUAL RETURN

as on the financial year ended on 31st March 2020

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS-

- i. CIN : L35111GJ2012PLC068922
- ii. Registration Date : 07/02/2012
- iii. Name of the Company : L. P. NAVAL AND ENGINEERING LIMITED
(Formerly Known as Siddhi Vinayak Shipping Corporation Limited)
- iv. Category / Sub-Category of the Company : Public Company limited by Shares/
Indian Non- Government Company
- v. Address of the registered office and contact details : Office Block First Floor, Pl. No.237/2 & 3
Sub Pl.No.A/25, Central Park Soc., G.I.D.C,
Pandrasara, Surat-394221
Ph.: 0261-2894415
E-mail: cs@lpnaval.com
Website: www.lpnaval.com
- vi. Whether listed company : Yes
- vii. Name, Address and Contact details of Registrar and Transfer Agent, if any : Bigshare Services Private Limited
1st Floor, Bharat Tin Works Building,
Opp. Vasant Oasis, Makwana Road, Marol,
Andheri-East,
Mumbai- 400059
Ph: +91-022-62638200
Email: info@bigshareonline.com
Website: <http://www.bigshareonline.com>

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY-

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products / Services	NIC Code of the Products/Services	% to total turnover of the company
1	Fabrication	30111	99.90%
2	Other Income	74999	0.10%

f. Insurance Companies	0	0	0	0	0	0	0	0	0
g. FIs	0	0	0	0	0	0	0	0	0
h. Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i. Others (Market Maker)	0	0	0	0	0	0	0	0	0
Total of (1)	0	0	0	0	0	0	0	0	0
2. Non-Institutions									
a. Body Corporate									
i. Indian	510000	0	510000	8.87	540000	0	540000	9.39	0.52
ii. Overseas	0	0	0	0	0	0	0	0	0
b. Individual									
i. Individual shareholders holding nominal share capital upto Rs. 1 lakh	300000	0	300000	5.21	297000	0	297000	5.16	(0.06)
ii. Individual shareholders holding nominal share capital in excess of Rs 1 lakh	774000	0	774000	13.46	735000	0	735000	12.78	(0.68)
c. Others (Clearing Member)	0	0	0	0	12000	0	12000	0.21	0.21
Total of (2)	1584000	0	1584000	27.54	1584000	0	1584000	27.54	0
Total B (1+2)	1584000	0	1584000	27.54	1584000	0	1584000	27.54	0
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Total A+B+C	5752000	0	5752000	100	5752000	0	5752000	100	0

ii) Shareholding of Promoters

Sr. No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares	% of Shares Pledged / encumbered to total shares	
1.	Manojkumar Govindprasad Sarawagi	3261000	56.70%	0	3261000	56.70%	0	0
2.	Rakeshkumar Govindprasad Sarawagi	303700	5.28%	0	303700	5.28%	0	0
3.	Sanjaykumar Govindprasad Sarawagi	303000	5.27%	0	303000	5.27%	0	0
4.	Govindprasad G Sarawagi	300000	5.21%	0	300000	5.21%	0	0
5.	Manojkumar Sarawagi (HUF)	100	0.0017%	0	100	0.0017%	0	0
6.	Sarladevi Manojkumar Sarawagi	100	0.0017%	0	100	0.0017%	0	0
7.	Sujata Rakeshkumar Sarawagi	100	0.0017%	0	100	0.0017%	0	0
	Total	4168000	72.46%	0	4168000	72.46%	0	0

iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sr. No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total Shares	No. of Shares	% of total Shares
	At the Beginning of the year	4168000	72.46%	4168000	72.46%
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	00	-	-	-
	At the end of the year	4168000	72.46%	4168000	72.46%

iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sr. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year			Cumulative Shareholding during the year		
		No. of Shares		% of total Shares	No. of Shares	% of total Shares	
1.	THAKURJI INTERNATIONAL PRIVATE LIMITED						
	At the Beginning of the year	147000		2.56	147000	2.56	
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	31/01/20	Buy	6000	0.10	153000	2.66
	At the end of the year	153000		2.66	153000	2.66	
2.	MANGESH K KANGUTKAR						
	At the Beginning of the year	111000		1.93	111000	1.93	
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	20/03/20	Sell	-24000	0.41	87000	1.51
	At the end of the year	87000		1.51	87000	1.51	
3.	NITU TRADING COMPANY LIMITED						
	At the Beginning of the year	0		0.00	0	0.00	
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	19/04/19	Buy	99000	1.72	99000	1.72
		20/03/20	Buy	9000	0.16	108000	1.88
	At the end of the year	108000		1.88	108000	1.88	
4.	SONU PRAVIN DADLIKA						
	At the Beginning of the year	99000		1.72	99000	1.72	
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0		0.00	99000	1.72	
	At the end of the year	99000		1.72	99000	1.72	

5.	UNICON TIE UP PRIVATE LIMITED						
	At the Beginning of the year	99000			1.72	99000	1.72
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	12/04/19	Sell	-99000	1.72	0	0.00
	At the end of the year	0			0.00	0	0.00
6.	SUMIT KHETAN						
	At the Beginning of the year	90000			1.56	90000	1.56
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0			0.00	90000	1.56
	At the end of the year	90000			1.56	90000	1.56
7.	NNM SECURITIES PVT.LTD.						
	At the Beginning of the year	51000			0.89	51000	0.89
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	12/04/19	Buy	99000	1.72	150000	2.61
		19/04/19	Sell	-99000	1.72	51000	0.89
		29/11/19	Sell	-3000	0.06	48000	0.83
		20/03/20	Buy	30000	0.53	78000	1.36
	At the end of the year	78000			1.36	78000	1.36
8.	DEEPALI AMIT SINGHAL						
	At the Beginning of the year	69000			1.20	69000	1.20
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0			0.00	69000	1.20
	At the end of the year	69000			1.20	69000	1.20
9.	USHA PRADEEP SINGHAL						
	At the Beginning of the year	66000			1.15	66000	1.15
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0			0.00	66000	1.15
	At the end of the year	66000			1.15	66000	1.15

10. NEETA DEVI KHAITAN							
At the Beginning of the year	60000			1.04	60000		1.04
Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0			0.00	60000		1.04
At the end of the year	60000			1.04	60000		1.04
11. MIKER FINANCIAL CONSULTANTS PVT LTD							
At the Beginning of the year	57000			0.99	57000		0.99
Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	31/01/20	Sell	-6000	0.10	51000		0.89
At the end of the year	51000			0.89	51000		0.89
12. UJAWAL TRENDZ							
At the Beginning of the year	57000			0.99	57000		0.99
Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0			0.00	57000		0.99
At the end of the year	57000			0.00	57000		0.99

v) Shareholding of Directors and Key Managerial Personnel:

Sr. No.	For Each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total Shares	No. of Shares	% of total Shares
1	MANOJKUMAR GOVINDPRASAD SARAWAGI, DIRECTOR				
	At the Beginning of the year	3261000	56.69%	3261000	56.69%
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	NIL		NIL	
	At the end of the year	3261000	56.69%	3261000	56.69%

2	RAKESHKUMAR GOVINDPRASAD SARAWAGI, DIRECTOR				
	At the Beginning of the year	303700	5.28%	303700	5.28%
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	NIL		NIL	
	At the end of the year	303700	5.28%	303700	5.28%
3	SANJAYKUMAR GOVINDPRASAD SARAWAGI, DIRECTOR				
	At the Beginning of the year	303000	5.27%	303000	5.27%
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	NIL		NIL	
	At the end of the year	303000	5.27%	303000	5.27%

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

Particulars	Secured Loans excluding Deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning				
i) Principal Amount	0	11,85,26,782	-	11,85,26,782
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	0	11,85,26,782	-	11,85,26,782
Change in Indebtedness during the year				
· Addition	20,37,428	52,73,751	-	73,11,179
· Reduction	-	-	-	-
Net Change	20,37,428	52,73,751	-	73,11,179
Indebtedness at the end				
i) Principal Amount	20,37,428	12,38,00,533	-	12,58,37,961
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	20,37,428	12,38,00,533	-	12,58,37,961

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing director, Whole-time Directors and/ or Manager:

S. No.	Particulars of Remuneration	Name of Manager Amit Khandelwal	Total Amount
1	Gross Salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	11,60,000	11,60,000
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission -	-	-
	-as % of Profit	-	-
	-Others, Specify	-	-
5	Others, please specify	-	-
	Total (A)	11,60,000	11,60,000
	Ceiling as per the Act	Rs. 84.00 Lakh	

Remuneration to other directors:

Sr. No.	Particulars of Remuneration	Name of Directors			Total Amount
		Pratibha Pankaj Gulgulia	Ruchita Amit Mittal	Girish Umesh Srivastava*	
1	Independent Director				
	a. Fees for attending board/ committee meeting		NIL		NIL
	b. Commission		NIL		
	c. Others, please specify		NIL		
	Total (1)				
2	Other Non-Executive Directors				
	a. Fees for attending board/ committee meeting		NIL		NIL
	b. Commission		NIL		
	c. Others, please specify		NIL		
	Total (2)				
	Total (B) = (1+2)		NIL		NIL
	Total Managerial Remuneration				
	Overall Ceiling as per the Act				Rs. 84.00 Lakh

* Mr. Girish Umesh Srivastava had appointed as Additional Independent Director in Board Meeting held on 06th May, 2019.

B. Remuneration To Key Managerial Personnel Other Than MD / Manager / WTD :

S. No.	Particulars	Key Managerial Personnel		
		Kaushik Haribhai Vegad (CS)	Mustafa Moiz Haji (CFO)	Total
1	Gross Salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	3,82,307	5,23,000	9,05,307
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission - as % of Profit	-	-	-
	Others, Specify	-	-	-
5	Others, please specify	-	-	-
	Total	3,82,307	5,23,000	9,05,307

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Breif Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority [RD/ NCLT/ Court]	Appeal made, if any (give details)
A. COMPANY					
Penalty			NIL		
Punishment			NIL		
Compounding			NIL		
B. DIRECTOR					
Penalty			NIL		
Punishment			NIL		
Compounding			NIL		
C. OTHER OFFICERS IN DEFAULT					
Penalty			NIL		
Punishment			NIL		
Compounding			NIL		

Date: 25/06/2020
Place: Surat

For L. P. NAVAL AND ENGINEERING LIMITED
Sd/-
Sanjaykumar Govind Prasad Sarawagi
Chairman
DIN: 00005468