

Annexure I

Form No. MGT-9
EXTRACT OF ANNUAL RETURN
as on the financial year ended on 31st March 2019
[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:-

- i) CIN : L35111GJ2012PLC068922
ii) Registration Date : 07/02/2012
iii) Name of the Company : L. P. NAVAL AND ENGINEERING LIMITED
(Formerly Known as Siddhi Vinayak Shipping Corporation Limited)
iv) Category / Sub-Category of the Company : Public Company/Company having share capital
v) Address of the registered office and contact details : Office Block First Floor, Pl. No.237/2 & 3
Sub Pl.No.A/25, Central Park Soc., G.I.D.C,
Pandésara, Surat-394221
Ph.: 0261-2894415
E-mail: cs@lpnaval.com
Website: www.lpnaval.com
vi) Whether listed company : Yes
vii) Name, Address and Contact details of Registrar and Transfer Agent, if any : Bigshare Services Private Limited
1st Floor, Bharat Tin Works Building,
Opp. Vasant Oasis, Makwana Road, Marol,
Andheri-East,
Mumbai-400059
Ph: +91-022-62638200
Email: info@bigshareonline.com
Website: http://www.bigshareonline.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

S. No.	Name and Description of main products / Services	NIC Code of the Products/ Services	% to total turnover of the company
1	Fabrication	30111	99.98%
2	Other Income	64199	0.02%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

S. No.	Name & Address of the Company	CIN/ GLN	Holding/Subsidiary/ Associate	% of Shares Held	Applicable Section
1	NIL				

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

- i) Category-wise Share Holding



Category of Shareholders	No. of Shares held at the beginning				No. of Shares held at the end				% Change during the year
	Demat	Physical	Total	% of total Shares	Demat	Physical	Total	% of total Shares	
A. Promoters									
1. Indian:									
a. Individual/HUF	4168000	0	4168000	72.46	4168000	0	4168000	72.46	0
b. Central Govt.	0	0	0	0	0	0	0	0	0
c. State Govt.	0	0	0	0	0	0	0	0	0
d. Body Corporate	0	0	0	0	0	0	0	0	0
e. Banks/ FI	0	0	0	0	0	0	0	0	0
f. Any other (Specify)	0	0	0	0	0	0	0	0	0
i) Directors Relatives	0	0	0	0	0	0	0	0	0
ii) Group Companies	0	0	0	0	0	0	0	0	0
iii) Trusts	0	0	0	0	0	0	0	0	0
Total of (1)	4168000	0	4168000	72.46	4168000	0	4168000	72.46	0
2. Foreign									
a. NRI Individual	0	0	0	0	0	0	0	0	0
b. Other Individual	0	0	0	0	0	0	0	0	0
c. Body Corporate	0	0	0	0	0	0	0	0	0
d. Banks/ FI	0	0	0	0	0	0	0	0	0
e. Any other	0	0	0	0	0	0	0	0	0
Total of (2)	0	0	0	0	0	0	0	0	0
Total A (1+2)	4168000	0	4168000	72.46	4168000	0	4168000	72.46	0
B. Public Shareholding									
1. Institutions									
a. Mutual Funds	0	0	0	0	0	0	0	0	0
b. Banks/ FI	0	0	0	0	0	0	0	0	0
c. Central Govt.	0	0	0	0	0	0	0	0	0
d. State Govt.	0	0	0	0	0	0	0	0	0
e. Venture Capital Funds	0	0	0	0	0	0	0	0	0
f. Insurance Companies	0	0	0	0	0	0	0	0	0
g. FIs	0	0	0	0	0	0	0	0	0
h. Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i. Others (Market Maker)	0	0	0	0	0	0	0	0	0
Total of (1)	0	0	0	0	0	0	0	0	0
2. Non-Institutions									
a. Body Corporate									
i. Indian	501000	0	501000	8.71	510000	0	510000	8.87	0.16
ii. Overseas	0	0	0	0	0	0	0	0	0



i. Individual shareholders holding nominal share capital upto Rs.1 lakh	339000	0	339000	5.89	300000	0	300000	5.22	(0.68)
ii. Individual shareholders holding nominal share capital in excess of Rs 1 lakh	744000	0	744000	12.93	774000	0	774000	13.46	0.52
c. Others (Clearing Member)	0	0	0	0	0	0	0	0	0
Total of (2)	1584000	0	1584000	27.54	1584000	0	1584000	27.54	0
Total B (1+2)	1584000	0	1584000	27.54	1584000	0	1584000	27.54	0
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Total A+B+C	5752000	0	5752000	100	5752000	0	5752000	100	0

ii) Shareholding of Promoters

Sr. No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares	%of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares	%of Shares Pledged / encumbered to total shares	
1.	Manojkumar Govindprasad Sarawagi	3261000	56.70%	0	3261000	56.70%	0	0
2.	Rakeshkumar Govindprasad Sarawagi	303700	5.28%	0	303700	5.28%	0	0
3.	Sanjaykumar Govindprasad Sarawagi	303000	5.27%	0	303000	5.27%	0	0
4.	Govindprasad G Sarawagi	300000	5.21%	0	300000	5.21%	0	0
5.	Manojkumar Sarawagi (HUF)	100	0.0017%	0	100	0.0017%	0	0
6.	Sarladevi Manojkumar Sarawagi	100	0.0017%	0	100	0.0017%	0	0
7.	Sujata Rakeshkumar Sarawagi	100	0.0017%	0	100	0.0017%	0	0
	Total	4168000	72.46%	0	4168000	72.46%	0	0



iii) **Change in Promoters' Shareholding (please specify, if there is no change)**

Sr. No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total Shares	No. of Shares	% of total Shares
	At the Beginning of the year	4168000	72.46%	4168000	72.46%
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the end of the year	4168000	72.46%	4168000	72.46%

iv) **Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):**

Sr. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year			Cumulative Shareholding during the year		
		No. of Shares		% of total Shares	No. of Shares	% of total Shares	
1.	MIKER FINANCIAL CONSULTANTS PVT LTD						
	At the Beginning of the year	201000		3.49	201000	3.49	
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	06/04/18	Transfer	6000	207000	3.60	
		24/07/18	Transfer	-45000		162000	2.82
		27/07/18	Transfer	27000		189000	3.29
		30/07/18	Transfer	-42000		147000	2.56
		03/08/18	Transfer	3000		150000	2.61
		10/08/18	Transfer	-51000		99000	1.72
		31/08/18	Transfer	-42000		57000	0.99
		07/09/18	Transfer	-57000		0	0.00
	05/10/18	Transfer	57000	57000	0.99		
	At the end of the year	57000		0.99	57000	0.99	
2.	THAKURJI INTERNATIONAL PRIVATE LIMITED						
	At the Beginning of the year	174000		3.03	174000	3.03	
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	29/03/19	Transfer	-27000	147000	2.56	
	At the end of the year	147000		2.56	147000	2.56	
3.	MANGESH K KANGUTKAR						
	At the Beginning of the year	111000		1.93	111000	1.93	
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	Nil			111000	1.93	
	At the end of the year	111000		1.93	111000	1.93	



4.	SONU PRAVIN DADLIKA						
	At the Beginning of the year			105000	1.83	105000	1.83
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	28/09/18	Transfer	-6000		102000	1.72
	At the end of the year			99000	1.72	99000	1.72
5.	UNICON TIE UP PRIVATE LIMITED						
	At the Beginning of the year			6000	0.10	6000	0.10
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	04/05/18	Transfer	36000		42000	0.73
		23/07/18	Transfer	-42000		0	0.00
		27/07/18	Transfer	30000		30000	0.52
		07/09/18	Transfer	42000		72000	1.25
		29/03/19	Transfer	27000		99000	1.72
	At the end of the year			99000	1.72	99000	1.72
6.	SUMIT KHETAN						
	At the Beginning of the year			90000	1.56	90000	1.56
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):			Nil		90000	1.56
	At the end of the year			90000	1.56	90000	1.56
7.	VINODKUMAR R MALI						
	At the Beginning of the year			78000	1.36	78000	1.36
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	27/07/18	Transfer	-42000		36,000	0.63
		03/08/18	Transfer	-21000		15,000	0.26
	At the end of the year			15000	1.20	15000	0.26
8.	DEEPALI AMIT SINGHAL						
	At the Beginning of the year			69000	1.20	69000	1.20
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):			Nil		69000	1.20
	At the end of the year			69000	1.20	69000	1.20
9.	USHA PRADEEP SINGHAL						
	At the Beginning of the year			66000	1.15	66000	1.15
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):			Nil		66000	1.15
	At the end of the year			66000	1.15	66000	1.15
10.	NEETA DEVI KHAITAN						
	At the Beginning of the year			60000	1.04	60000	1.04
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):			Nil		60000	1.04
	At the end of the year			60000	1.04	60000	1.04



11.	UJAWAL TRENDZ				
	At the Beginning of the year		57000	0.99	57000
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):		Nil		57000
	At the end of the year		57000	0.99	57000

v) Shareholding of Directors and Key Managerial Personnel:

Sr. No.	For Each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total Shares	No. of Shares	% of total Shares
1	MANOJKUMAR GOVINDPRASAD SARAWAGI, DIRECTOR				
	At the Beginning of the year	3261000	56.69%	3261000	56.69%
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	NIL		NIL	
	At the end of the year	3261000	56.69%	3261000	56.69%
2	RAKESHKUMAR GOVINDPRASAD SARAWAGI, DIRECTOR				
	At the Beginning of the year	303700	5.28%	303700	5.28%
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	NIL		NIL	
	At the end of the year	303700	5.28%	303700	5.28%
3	SANJAYKUMAR GOVINDPRASAD SARAWAGI, DIRECTOR				
	At the Beginning of the year	303000	5.27%	303000	5.27%
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	NIL		NIL	
	At the end of the year	303000	5.27%	303000	5.27%

v. INDEBTEDNESS

Particulars	Secured Loans excluding Deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning				
i) Principal Amount	4,30,860	7,49,48,375	-	7,53,79,235
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	4,30,860	7,49,48,375	-	7,53,79,235
Change in Indebtedness during the year				
· Addition	-	4,35,78,407	-	4,35,78,407
· Reduction	-4,30,860	-	-	-4,30,860
Net Change	-4,30,860	4,35,78,407	-	4,31,47,547
Indebtedness at the end				



i) Principal Amount	-	11,85,26,782	-	11,85,26,782
ii) Interest due but not paid	-	-	-	
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	11,85,26,782	-	11,85,26,782

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing director, Whole-time Directors and/ or Manager:

Sr. No.	Particulars of Remuneration	Name of Manager Amit Khandelwal	Total Amount
1	Gross Salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	10,50,000	10,50,000
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission -	-	-
	-as % of Profit	-	-
	-Others, Specify	-	-
5	Others, please specify	-	-
	Total (A)	10,50,000	10,50,000
	Ceiling as per the Act		Rs. 12.37 Lakh

Remuneration to other directors:

Sr. No.	Particulars of Remuneration	Name of Directors				Total Amount
		PRATIBHA PANKAJ GULGULIA	RUCHITA AMIT MITTAL	MAHESH-KUMAR HARIRAM SABOO*	GIRISH UMESH SRIVASTAVA#	
1	Independent Director					
	a. Fees for attending board/ committee meeting	NIL				NIL
	b. Commission	NIL				
	c. Others, please specify	NIL				
	Total (1)					
2	Other Non-Executive Directors					
	a. Fees for attending board/ committee meeting	NIL				NIL
	b. Commission	NIL				
	c. Others, please specify	NIL				



	Total (2)					
	Total (B) = (1+2)	NIL				NIL
	Total Managerial Remuneration					
	Overall Ceiling as per the Act	Rs. 1.24 Lakh				

* Resigned w.e.f. 24/04/2019

#Appointed w.e.f. 06/05/2019

B. Remuneration To Key Managerial Personnel Other Than MD / Manager / WTD

S. No.	Particulars	Key Managerial Personnel		
		Kaushik Haribhai Vegad (CS)	Mustafa Moiz Haji (CFO)	Total
1	Gross Salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	4,02,501	4,90,000	8,92,501
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission -	-	-	-
	as % of Profit	-	-	-
	Others, Specify	-	-	-
5	Others, please specify	-	-	-
	Total	4,02,501	4,90,000	8,92,501
	Ceiling as per the Act	N.A		

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Breif Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority [RD/ NCLT/ Court]	Appeal made, if any (give details)
A. COMPANY					
Penalty			NIL		
Punishment			NIL		
Compounding			NIL		
B. DIRECTOR					
Penalty			NIL		
Punishment			NIL		
Compounding			NIL		



C. OTHER OFFICERS IN DEFAULT					
Penalty	NIL				
Punishment	NIL				
Compounding	NIL				

Date: 22/08/2019

Place: Surat

For L. P. NAVAL AND ENGINEERING LIMITED

**Sd/-
Sanjaykumar Govind Prasad Sarawagi
Chairman
DIN: 00005468**

